

Delegation of Authority Matrix for The University of Texas Health Science Center at Houston

Delegated Authority	Board of Regents' Rules and Regulations	From Primary Delegate	To Secondary Delegates	Effective Date of Delegation
GIFTS Delegation of authority to accept gifts (other than gifts of real property or outdoor works of art) in the amount of \$1,000,000 or less. The gift must be unrestricted or restricted only as to a particular college, school, or unit and must not be a bequest, a planned gift, or given for the purpose of establishing an endowment or a fund functioning as an endowment. Certain gifts that have a value of more than \$1,000,000 (in cash or in-kind) must be submitted to the Board for approval via the docket, except those processed or administered by the Office of External Relations.	Series 60101, Sections 2 and 3	James T. Willerson, M.D., President	Susan H. Coulter, J.D., Vice President, Institutional Advancement	September 1, 2007
			Kevin Dillon, EVP, Chief Operating and Financial Officer	December 1, 2005
GIFTS OF SECURITIES Delegation of authority to accept gifts of securities valued at \$1,000,000 or less provided that each gift is processed in accordance with all applicable laws, <u>Rules</u> , policies, and the U.T. System Gift Acceptance Procedures, and is not a bequest, an interest in trust, a gift or other fund to establish an endowment or quasi-endowment or other planned gift (such as a Charitable Remainder Trust) as defined in the U.T. System Gift Acceptance Procedures. Gifts that have a value of more than \$1,000,000 (in cash or in-kind) must be submitted to the Board for approval via the docket, unless the gift is processed or administered by the U.T. System Office of External Relations.	Series 60101, Sections 2 and 3	James T. Willerson, M.D., President	Charlotte Hambrick, Associate Director of Gift Planning Services (UT System)	June 15, 2005
			Jan Hopson, Associate Director of Gift Planning Services (UT System)	June 15, 2005
			Karyn Kondoff, Assistant Director of Gift Planning Services (UT System)	June 15, 2005
			Julia K. Lynch, Director of Development and Gift Planning Services (UT System)	June 15, 2005

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<p>IRS FORMS Delegation of authority to execute certain IRS forms relating to gifts, including without limitation IRS Forms 8283 and 8282. This authority extends only to necessary IRS forms relating to gifts accepted on behalf of UTHSC-Houston.</p>	Series 60101, Sections 2 and 6	James T. Willerson, M.D., President	<p>Susan H. Coulter, J.D., Vice President, Institutional Advancement</p> <p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p>Devin Longuet, Legal Officer</p>	<p>September 1, 2007</p> <p>December 1, 2005</p> <p>December 1, 2005</p>
<p>CONTRACTS FOR BANKING SERVICES Delegation of authority to execute and deliver contracts for banking services. Authority extends only to contracts for banking services with banks that have a depository agreement with the Board of Regents of U.T. System.</p>	Series 22201, Section 5.1 and Series 10501, Section 2	James T. Willerson, M.D., President	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p>Laura Smith, Associate VP, Finance and Legislative Affairs</p> <p>Michael Tramonte, Assistant VP of Finance and Controller</p>	<p>December 1, 2005</p> <p>December 1, 2005</p> <p>September 1, 2007</p>

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<p>SPACE LEASE AGREEMENTS Delegation of authority to execute and deliver space lease agreements with a value of \$1,000,000 or less for the purpose of leasing space for use by the institution for institutional purposes. Certain Space Lease Agreements that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas System.</p>	<p>Series 10501, Sections 1, 2, 3, 4, and 5</p>	<p>James T. Willerson, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p>Richard McDermott, VP, Facilities, Planning and Engineering</p>	<p>December 1, 2005</p> <p>December 1, 2005</p>
<p>CAMPUS OFFICE LEASES **Delegation of authority to execute and deliver Campus Office Leases, including authority to execute and deliver amendments to Campus Office Leases. (Campus Office Lease - a lease of space in a U.T. building for which the Board of Regents is the lessor (landlord) and a third party or other U.T. institution is the lessee (tenant), under which the lessee will use the leased space for general office uses only.)</p>	<p>Series 70301, Part 2, Section 4; Series 10501, Part 2, Section 6; BPM 48; and Article III, § 51 of the Texas Constitution</p>	<p>Scott C. Kelley, Executive Vice Chancellor for Business Affairs, UT System</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer (Chief Business Officer)</p>	<p>December 1, 2005</p>
<p>**This is a brief summary of Executive Vice Chancellor Scott Kelley's delegation of authority related to Campus Office Leases to UTHSC-Houston's Chief Business Officer Kevin Dillon dated March 2, 2005. For a copy of Mr. Kelley's letter, contact the office of the EVP, Chief Operating and Financial Officer.</p>				

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<p>STATE AND FEDERAL CONTRACTS Delegation of authority to execute and deliver state and federal contracts or proposals for sponsored research that grant an interest in intellectual property on behalf of the Board. Non-governmental agreements for more than \$1,000,000 must be approved by the appropriate Executive Vice Chancellor. Any financial commitment or matching requirements and/or additional space required to conduct this research must have the approval of the EVP, Chief Operating and Financial Officer, Kevin Dillon.</p>	<p>Series 10501, Sections 2 and 5</p>	<p>James T. Willerson, M.D., President</p>	<p>Peter J. A. Davies, M.D., Ph.D., EVP for Research</p> <p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p>Johnna Kincaid, Executive Director, Sponsored Projects Administration</p> <p>Catherine Moore, Grants Director, Office of Sponsored Projects</p> <p>Jodi Ogden, Contracts Director, Office of Sponsored Projects</p> <p>Laura Smith, Associate VP, Finance and Legislative Affairs</p>	<p>December 1, 2005</p> <p>December 1, 2005</p> <p>December 1, 2005</p> <p>December 1, 2005</p> <p>October 1, 2006</p> <p>December 1, 2005</p>
<p>CONFIDENTIAL DISCLOSURE AGREEMENTS Delegation of authority to execute and deliver confidential disclosure agreements that relate to intellectual property matters at The University of Texas Health Science Center at Houston. This authority does not cover or extend to agreements that grant to a third party an interest in intellectual property owned or controlled by the Board.</p>	<p>Series 10501 and Series 90105, Sections 1 and 3</p>	<p>James T. Willerson, M.D., President</p>	<p>Bruce D. Butler, Ph.D., Director, Office of Technology Management</p> <p>Peter J. A. Davies, M.D., Ph.D., EVP for Research</p> <p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p>	<p>December 1, 2005</p> <p>February 1, 2007</p> <p>December 1, 2005</p>

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<p>PURCHASE ORDERS Delegation of authority to execute and deliver purchase orders for the routine purchase of equipment, supplies, goods, and services. Certain purchase orders that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas System.</p>	<p>Series 10501, Sections 1, 2, 3, 4, and 5</p>	<p>James T. Willerson, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer (up to \$1,000,000)</p> <p>Jerry Fuller, AVP, Procurement (up to \$1,000,000)</p> <p><i>Purchases by Procurement:</i> Contract Managers (up to \$500,000)</p> <p>eProcurement Contract Administrators (up to \$500,000)</p> <p>Contract Administrators (up to \$125,000)</p> <p>Senior Buyers (up to \$125,000)</p> <p>Buyers (up to \$125,000)</p>	<p>December 1, 2005</p> <p>December 1, 2005</p> <p>December 1, 2005</p> <p>December 1, 2005</p> <p>December 1, 2005</p> <p>December 1, 2005</p> <p>December 1, 2005</p>

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Delegated Authority	Board of Regents' Rules and Regulations	From Primary Delegate	To Secondary Delegates	Effective Date of Delegation
<p>PURCHASE ORDERS (Continued) Authority to Execute and Deliver Purchase Orders for the routine purchase of equipment, supplies, goods, and services. Certain purchase orders that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas System.</p>	<p>Series 10501, Sections 1, 2, 3, 4, and 5</p>	<p>James T. Willerson, M.D.</p>	<p><i>Purchases by Auxiliary Enterprises:</i> Doug Bowerman, Director, Parking and Shuttle Services (up to \$50,000) Diane Collard, Manager, Food and Travel Services, and Mobility Program Coordinator (up to \$50,000) Charlie Figari, VP and Chief Auxiliary Enterprise Officer (up to \$1,000,000) Ronda Gillie, Asst. to the VP and Chief Auxiliary Enterprise Officer (up to \$10,000) Robert Lozano, Property Leasing Manager, (up to \$50,000) <i>University Housing:</i> Charles Brunson, Building Superintendent (up to \$25,000) <i>Mail Services for Postage:</i> Wendy Payne, Director (up to \$40,000)</p>	<p>December 1, 2005 May 1, 2006 December 1, 2005 December 1, 2005 February 1, 2007 December 1, 2005 December 1, 2005</p>

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<p>PURCHASE ORDERS (Continued) Authority to Execute and Deliver Purchase Orders for the routine purchase of equipment, supplies, goods, and services. Certain purchase orders that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas</p>	<p>Series 10501, Sections 1, 2, 3, 4, and 5</p>	<p>James T. Willerson, M.D., President</p>	<p><i>Printing Services:</i> Larry Lorino, Director (up to \$75,000) Section 21, Article 16, Texas Constitution</p> <p><i>Recreation Center:</i> Pauline Habetz, Director, Recreation and Intramural Program (up to \$25,000)</p>	<p>December 1, 2005</p> <p>December 1, 2005</p>
<p>CONTRACTS WITH SYSTEM OR AMONG UT INSTITUTIONS Delegation of authority to execute and deliver contracts or agreements with U.T. System Administration or between or among institutions of The University of Texas System for resources or services. Any such contract or agreement shall provide for the recovery of the cost of services and resources furnished. Certain contracts that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas System.</p>	<p>Series 10501, Sections 1, 2, 3, 4, and 5.3</p>	<p>James T. Willerson, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p>Devin Longuet, Legal Officer</p> <p>Laura Smith, Associate VP, Finance and Legislative Affairs</p>	<p>December 1, 2005</p> <p>December 1, 2005</p> <p>December 1, 2005</p>

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<p>NEW CONSTRUCTION AND REPAIR AND REHABILITATION Delegation of authority relating to new construction projects of \$1,000,000 or less and for repair and rehabilitation projects of \$2,000,000 or less. Applies to authority to (1) appoint architects, approve plans and construction documents, and execute and deliver related contracts, agreements, guaranteed maximum price or stipulated sum proposals, and other documents, and (2) execute and deliver contracts and agreements with architects, engineers and other professional service providers.</p>	<p>Series 80403 and Series 10501, Section 2</p>	<p>James T. Willerson, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p>Charlie Figari, VP and Chief Auxiliary Enterprise Officer</p> <p>Jerry Fuller, AVP, Procurement</p> <p>Richard McDermott, VP Facilities, Planning and Engineering</p>	<p>December 1, 2005</p> <p>December 1, 2005</p> <p>December 1, 2005</p> <p>December 1, 2005</p>
<p>LICENSE AGREEMENTS Delegation of authority to execute and deliver license agreements and other conveyances of intellectual property owned or controlled by the Board. Non-governmental agreements for more than \$1,000,000 must be approved by the appropriate Executive Vice Chancellor.</p>	<p>Series 10501 and Series 90105, Sections 1 and 3</p>	<p>James T. Willerson, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p>Laura Smith, Associate VP, Finance and Legislative Affairs</p>	<p>December 1, 2005</p> <p>December 1, 2005</p>
<p>AFFILIATION AND PROGRAM AGREEMENTS Delegation of authority to execute and deliver affiliation and program agreements for educational experiences of students and residents.</p>	<p>Series 10501, Section 5</p>	<p>James T. Willerson, M.D., President</p>	<p>Maximilian Buja, M.D., EVP for Academic Affairs</p> <p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p>	<p>December 1, 2005</p> <p>December 1, 2005</p>

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<p>CONTRACTS OF ANY KIND Delegation of authority to execute and deliver contracts of any kind or nature, including contracts for the purchase of equipment, supplies, goods, and services, but not including contracts for consulting services for more than \$1,000,000. Certain contracts that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas System.</p>	<p>Series 10501, Sections 1, 2, 3, 4, and 5</p>	<p>James T. Willerson, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p><i>Contracts for Auxiliary Enterprises:</i> Charlie Figari, VP and Chief Auxiliary Enterprise Officer (up to \$1,000,000)</p>	<p>December 1, 2005</p> <p>September 1, 2007</p>
<p>CONFIRMATION DOCUMENTS UNDER ELECTRIC SALES AGREEMENT Delegation of authority to enter into verbal agreements and execute and deliver confirmation documents authorized under that certain Electric Sales Agreement dated April 1, 2006, and entered into by and between the Texas General Land Office, Reliant Energy Retail Services, LLC d/b/a Reliant Energy Solutions, Reliant Energy, Inc. and The University of Texas Health Science Center at Houston.</p> <p>The Electric Sales Agreement was approved by the Chancellor's delegate, Dr. Scott Kelly, Executive Vice Chancellor of Business Affairs, for The University of Texas System, pursuant to Series 10501, Sections 4.10 of the Regents' <u>Rules</u>.</p>	<p>Series 10501, Section 5</p>	<p>James T. Willerson, M.D., President</p>	<p>Jerry Fuller, AVP Procurement</p> <p>Richard Rawson, Contract Manager</p>	<p>April 1, 2006</p> <p>May 1, 2006</p>

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